

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
25 May 2001 (25.05.2001)

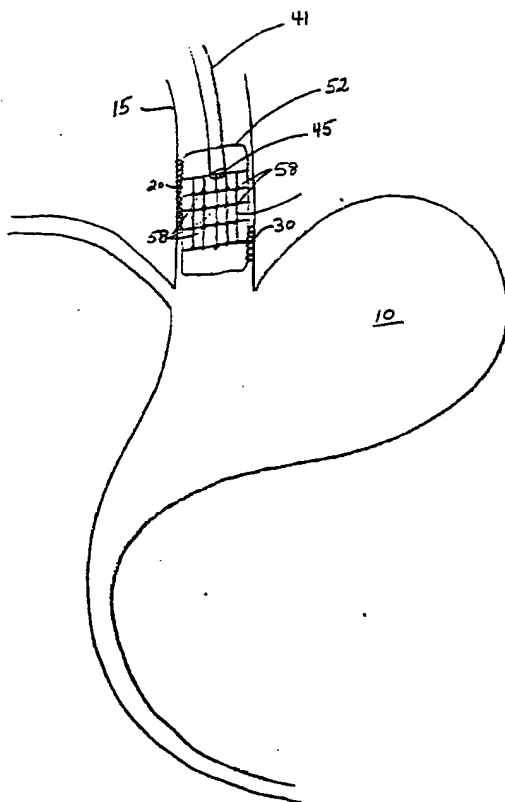
PCT

(10) International Publication Number  
**WO 01/35846 A1**

- (51) International Patent Classification<sup>7</sup>: **A61B 18/14** (74) Agents: BAUMAN, Mary, P. et al.; Fredrikson & Byron, P.A., 1100 International Centre, 900 Second Avenue South, Minneapolis, MN 55402-3397 (US).
- (21) International Application Number: PCT/US00/31561
- (22) International Filing Date:  
16 November 2000 (16.11.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/165,687 16 November 1999 (16.11.1999) US
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- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— With international search report.

[Continued on next page]

(54) Title: SYSTEM AND METHOD OF TREATING ABNORMAL TISSUE IN THE HUMAN ESOPHAGUS



(57) Abstract: An ablation catheter system and method of use is provided to endoscopically access portions of the human esophagus experiencing undesired growth of columnar epithelium. The ablation catheter system and method includes controlled depth of ablation features and use of either radio frequency spectrum, non-ionizing ultraviolet radiation, warm fluid or microwave radiation, which may also be accompanied by improved sensitizer agents.

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## SYSTEM AND METHOD OF TREATING ABNORMAL TISSUE IN THE HUMAN ESOPHAGUS

### CROSS-REFERENCE TO RELATED APPLICATIONS

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This application claims the benefit of U.S. Provisional Application No. 60/165,687, filed November 16, 1999.

### FIELD OF THE INVENTION

A system and method for treating abnormal epithelium in an esophagus.

### BACKGROUND OF THE INVENTION

15

Two of the major functions of the human esophagus are the transport of food from intake to the stomach and the prevention of retrograde flow of gastrointestinal contents. The retrograde flow is, in part, prevented by two esophageal sphincters which normally remain closed and which are functional rather than distinct entities. In particular, a lower esophageal sphincter normally remains closed until

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parasympathetic activation causes its relaxation, allowing food to pass into the stomach from the esophagus. Various types of food and other activity may cause relaxation of the sphincter, such as fatty meals, smoking and beverages having xanthine content. Certain drugs or pharmaceuticals also may cause relaxation of this lower esophageal sphincter, as well as localized trauma or other problems such as

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neuromuscular disorders.

Regardless, patients having such difficulties may present with clinical indications including dysphagia, or difficulty in swallowing, as well as more classic symptoms of heartburn and other similar complaints. Recurrent problems of this nature often lead to a disorder known as reflux esophagitis, consisting of esophageal mucosa damage due to the interaction of the gastric or intestinal contents with portions of the esophagus having tissue not designed to experience such interaction. As suggested above, the causative agent for such problems may vary.

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The treatment for the underlying cause of such inflammatory mechanisms is not the subject of this patent application, but rather the invention is focused on treatment of secondary damage to tissue in the effected region of the esophagus.

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## SUMMARY OF THE INVENTION

An ablation catheter and method of use is provided to endoscopically access portions of the human esophagus experiencing undesired growth of columnar epithelium. The ablation catheter system and method includes controlled depth of ablation features and use of either radio frequency spectrum, non-ionizing ultraviolet radiation, warm fluid or microwave radiation, which may also be accompanied by improved sensitizer agents.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a schematic view of portions of an upper digestive tract in a human. Figure 2 is a schematic view of a device of the invention, in an expanded mode, within an esophagus. Figure 3 is a schematic view of a device of the invention. Figure 4 is a photograph of the device of Figure 3. Figure 5 is a view of a device of the invention. Figure 6 shows the electrode patterns of the device of Figure 3. Figure 7 shows electrode patterns of that may be used with a device of the invention.

## DETAILED DESCRIPTION OF THE INVENTION

Various inflammatory disorders result in human patients who experience retrograde flow of gastric or intestinal contents from the stomach 10, as shown in Figure 1, into the esophagus 15. This flow is shown by arrows A and B in Figure 1. Although the causation of these problems are varied, this retrograde flow may result in secondary disorders which require treatment independent of and quite different from treatments appropriate for the primary disorder—such as disorders of the lower esophageal sphincter 18. One type of inflammatory disorder is known as Barrett's esophagus, in which the stomach acids, bile acids and enzymes regurgitated from the stomach and duodenum enter into the lower esophagus causing damage to the esophageal mucosa. Indeed, when this type of retrograde flow occurs frequently enough, damage may occur to esophageal epithelial cells 20. When normal replacement of damaged cells is overcome by the rate of damage, then the result may be symptomatic destruction of the healthy squamous epithelium. When this occurs, the squamous cells can be replaced by columnar epithelium 30 of the lower esophageal passageway. It is well established that although some of the columnar

5 cells may be benign, others may result in adenocarcinoma. Accordingly, attention has been focused on identifying and removing this columnar epithelium in order to mitigate more severe implications for the patient. Examples of efforts to properly identify these growths, referred to as Barrett's epithelium or more generally as Barrett's esophagus, have included conventional visualization techniques known to  
10 practitioners in the field. Although certain techniques have been developed to characterize and distinguish such epithelium cells, such as disclosed in United States Patent No. 5,524,622 and United States Patent No. 5,888,743, there has yet to be shown efficacious means of accurately removing undesired growths of this nature from portions of the esophagus to mitigate risk of malignant transformation.

15 Means for accomplishing this procedure according to this invention includes use of the radio frequency spectrum at conventional levels to accomplish ablation of mucosal or submucosal level tissue. Such ablation is designed to remove the columnar growths 30 from the portions of the esophagus 15 so effected. In one embodiment, as shown in Figure 2, an elongated flexible shaft 41 is provided for  
20 insertion into the body in any of various ways selected by the medical provider. The shaft may be preferably placed endoscopically, e.g. through the esophagus, or it may be placed surgically, or by other means. Radiant energy distribution means is provided at a distal end 45 of the flexible shaft to provide appropriate energy for ablation as desired. It is recognized that radiant energy of a preferred type includes  
25 radio frequency energy, microwave energy, or ultraviolet light, the latter possibly in combination with improved sensitizing agents. It is also recognized that another embodiment of this invention may utilize heatable fluid as an ablation energy medium.

In one embodiment the flexible shaft comprises a coaxial cable surrounded by  
30 an electrical insulation layer and comprises a radiant energy distribution means located at its distal end. In one form of the invention, a positioning and distending device around the distal end of the instrument is of sufficient size to contact and expand the walls of the body cavity in which it is placed (e.g. the esophagus) both in the front of the distribution means as well as on the sides of the distribution means.  
35 For example, the distal head of the instrument can be supported at a controlled distance from the wall of the esophagus by an expandable balloon member 52 so as to

5 regulate and control the amount of energy transferred to the tissue comprising the esophageal wall. The balloon is preferably bonded to a portion of the flexible shaft at a point spaced from the distal head means.

Another embodiment comprises using the distending or expandable balloon member as the vehicle to deliver the ablation energy. A critical feature of this  
10 embodiment includes means by which the energy is transferred from the distal head portion of the invention to the membrane comprising the balloon member. For example, one type of energy distribution that may be appropriate and is incorporated herein in its entirety is shown in United States Patent No. 5,713,942, in which an expandable balloon is connected to a power source which provides radio frequency  
15 power having the desired characteristics to selectively heat the target tissue to a desired temperature. The balloon 52 of the current invention may be constructed of an electroconductive elastomer such as a mixture of polymer, elastomer, and electroconductive particles, or it may comprise a nonextensible bladder having a shape and a size in its fully expanded form which will extend in an appropriate way to  
20 the tissue to be contacted. In another embodiment, an electroconductive member may be formed from an electroconductive elastomer wherein an electroconductive material such as copper is deposited onto a surface and an electrode pattern is etched into the material and then the electroconductive member is attached to the outer surface of the balloon member. In one embodiment, the electroconductive member, e.g. the balloon  
25 member 52, has a configuration expandable in the shape to conform to the dimensions of the expanded (not collapsed) inner lumen of the human lower esophageal tract. In addition, such electroconductive member may consist of a plurality of electrode area segments 58 having thermistor means or the like associated with each electrode segment by which the temperature from each of a plurality of segments is monitored  
30 and controlled by feedback arrangement. In another embodiment, it is possible that the electroconductive member may have means for permitting transmission of microwave energy to the ablation site. In yet another embodiment, the distending or expandable balloon member may have means for carrying or transmitting a heatable fluid within one or more portions of the member so that the thermal energy of the  
35 heatable fluid may be used as the ablation energy source.

5           A preferred device, such as that shown in Figure 2, includes steerable and directional control means, a probe sensor for accurately sensing depth of cautery, and appropriate alternate embodiments so that in the event of a desire not to place the electroconductive elements within the membrane forming the expandable balloon member it is still possible to utilize the balloon member for placement and location  
10 control while maintaining the energy discharge means at a location within the volume of the expanded balloon member, such as at a distal energy distribution head of conventional design.

          In one embodiment, the system disclosed herein may be utilized as a procedural method of treating Barrett's esophagus. This method includes the  
15 detection and diagnosis of undesired columnar epithelium within the esophagus. After determining that the portion or portions of the esophagus having this undesired tissue should be partially ablated, then the patient is prepared as appropriate according to the embodiment of the device to be utilized. Then, the practitioner prepares the patient as appropriate and inserts, in one embodiment, via endoscopic access and  
20 control, the ablation device shown and discussed herein through the mouth of the patient. Further positioning of portions of the device occur until proper location and visualization identifies the ablation site in the esophagus. Selection and activation of the appropriate quadrant(s) or portion(s)/segment(s) on the ablation catheter member is performed by the physician, including appropriate power settings according to the  
25 depth of cautery desired. Additional settings may be necessary as further ablation is required at different locations and/or at different depths within the patient's esophagus. Following the ablation, appropriate follow-up procedures as are known in the field are accomplished with the patient during and after removal of the device from the esophagus. The ablation treatment with ultraviolet light may also be  
30 accompanied by improved sensitizer agents, such as hematoporphyrin derivatives such as Photofrin® (porfimer sodium, registered trademark of Johnson & Johnson Corporation, New Brunswick, NJ).

          In yet another embodiment of the method of the invention, the system disclosed herein may be utilized as a procedural method of treating dysplasia or  
35 cancerous tissue in the esophagus. After determining that the portion or portions of the esophagus having undesired tissue which should be partially ablated, then the

- 5 patient is prepared as appropriate according to the embodiment of the device to be utilized and treatment is provided as described above.

In yet another method of the invention, the practitioner may first determine the length of the portion of the esophagus requiring ablation and then may choose an ablation catheter from a plurality of ablation catheters of the invention, each catheter  
10 having a different length of the electrode member associated with the balloon member. For example, if the practitioner determined that 1 centimeter of the esophageal surface required ablation, an ablation catheter having 1 centimeter of the electrode member could be chosen for use in the ablation. The length of the electrode member associated with the balloon member can vary in length from 1 to 10 cm.

- 15 In yet another embodiment, a plurality of ablation catheters wherein the radiant energy distribution means are associated with the balloon member can be provided wherein the diameter of the balloon member when expanded varies from 12mm to 25 mm. In this method, the practitioner will choose an ablation catheter having a diameter when expanded which will cause the esophagus to stretch and the  
20 mucosal layer to thin out, thus, reducing blood flow at the site of the ablation. The esophagus normally is 5 to 6 mm thick, with the method of the invention the esophagus is stretched and thinned so that the blood flow through the esophageal vasculature is occluded. It is believed that by reducing the blood flow in the area of ablation, the heat generated by the radiant energy is less easily dispersed to other  
25 areas of the esophagus thus focusing the energy to the ablation site.

- One means a practitioner may use to determine the appropriate diameter ablation catheter to use with a particular patient would be to use in a first step a highly compliant balloon connected to pressure sensing means. The balloon would be inserted into the esophagus and positioned at the desired site of the ablation and  
30 inflated until an appropriate pressure reading was obtained. The diameter of the inflated balloon would be determined and an ablation device of the invention having a balloon member capable of expanding to that diameter would be chosen for use in the treatment. It is well known that the esophagus may be expanded to a pressure of 60-120 lbs./square inch. In the method of this invention, it is desirable to expand the  
35 expandable electroconductive member such as a balloon sufficiently to occlude the vasculature of the submucosa, including the arterial, capillary or venular vessels. The



5 pressure to be exerted to do so should therefore be greater than the pressure exerted by such vessels.

Operation and use of a device of the invention are described as follows. The device used is shown schematically in Figures 3 and 5 and a photograph of the device is shown in Figure 4. As shown in Figure 5, the elongated flexible shaft 41 is  
10 connected to a multi-pin electrical connector 94 which is connected to the power source and includes a male luer connector 96 for attachment to a fluid source useful in expanding the expandable member. The elongated flexible shaft has an electrode 98 wrapped around the circumference. The expandable member of the device shown in Figures 3 and 4 further includes three different electrode patterns, the patterns of  
15 which are represented in greater detail in Figure 6. Normally, only one electrode pattern would be used in a device of this invention. In this device, the elongated flexible shaft 41 comprises six bipolar rings 62 with 2mm separation at one end of the shaft (one electrode pattern), adjacent to the bipolar rings is a section of six monopolar bands or rectangles 65 with 1mm separation (a second electrode pattern),  
20 and another pattern of bipolar axial interlaced finger electrodes 68 is positioned at the other end of the shaft (a third electrode pattern). In this device, a null space 70 was positioned between the last of the monopolar bands and the bipolar axial electrodes. The catheter used in the study was prepared using a polyimide flat sheet of about 1 mil (0.001") thickness coated with copper. The desired electrode patterns were then  
25 etched into the copper.

The electrode patterns of the invention may vary, other possible electrode patterns are shown in Figure 7 as 80, 84, 88, and 92, respectively. Pattern 80 is a pattern of bipolar axial interlaced finger electrodes with .3mm separation. Pattern 84 includes monopolar bands with .3mm separation. Pattern 88 includes bipolar rings  
30 with .3mm separation. Pattern 92 is electrodes in a pattern of undulating electrodes with .2548mm separation.

In this case the electrodes were attached to the outside surface of an esophageal dilation balloon 72 having a diameter of 18 mm. The device was adapted to use radio frequency by attaching wires 74 as shown in Figure 4 to the electrodes to  
35 connect them to the power source.

5           The balloon was deflated and the catheter inserted into the esophagus as described below. In addition to the series of three different electrode patterns a number of different energy factors were applied to the esophagus of a normal immature swine (about 25 kgs). First, an endoscope was passed into the stomach of the subject. The device of the invention was placed into the distal esophagus using  
10   endoscopic guidance. The balloon member was inflated to press the electrodes against the esophageal mucosa. There was no indication that balloon dilation resulted in untoward effects on the esophagus.

          Once the balloon member and electrodes were in place the first set of radio frequency ("RF") applications were made. Following endoscopic evaluation of the  
15   treated areas, the device was withdrawn proximally. The placement of the device was evaluated endoscopically to assure a gap of normal tissue between the area of the first application and the second application, which gap will assure identification of the two treatment areas during post procedure evaluations. The procedure was repeated a third time using a similar procedure to that of the second application. During the  
20   treatment the tissue impedance was monitored as an indicator of the progress of the treatment, high impedance being an indication of desiccation. Accordingly, the practitioner can determine through monitoring the tissue impedance when sufficient ablation has occurred.

          The treatment parameters and observations from the first set of RF  
25   applications are shown in Table 1. The effect of the treatment was evaluated endoscopically. The areas of the esophagus treated (the "treatment patterns") were clearly visible as white bands. Untreated areas had the normal red/pink color.

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**TABLE 1**  
**Treatment Set 1: Parameters and Observations**

Device Location & Configuration	Treatment Protocol	Observed Impedance	
		Initial (Ohms) <sup>1</sup>	Terminal (Ohms)
Distal// Bipolar	25 watts @30 secs + 40 watts @ 30 secs	33	258
Monopolar Band 1	25 watts @ 30 secs	125	Shut off at 29 secs <sup>2</sup>
Band 2	25 watts @ 30 secs	107	Shut off at 20 secs
Band 3	25 watts @ 30 secs	125	Shut off at 25 secs
Band 4	25 watts @ 30 secs	105	Shut off at 22 secs
Band 5	25 watts @ 30 secs	125	Full <sup>3</sup> at 30 secs
Band 6	25 watts @ 30 secs	90	Shut off at 19 secs
Proximal // Bipolar	15 watts @ 30 secs + 40 watts @ 30 secs	No data	No change from baseline

Transformer tap = 50

Shut off usually occurs at 300 ohms.

- 10 "Full" indicates treatment progressed for the entire scheduled interval without an automatic termination event.

As can be seen from the table, once the observed impedance at the ablation site reached 300 ohms the radio frequency generator shut off the signal.

- 15 The treatment parameters and observations from the second set of RF applications made mid level in the esophagus are shown in Table 2. As before the effect of the treatment was evaluated endoscopically. The treatment patterns were clearly visible.

5

**TABLE 2**  
**Treatment Set 2: Parameters and Observations**

Device Location & Configuration	Treatment Protocol	Observed Impedance	
		Initial (Ohms) <sup>4</sup>	Terminal (Ohms)
Distal// Bipolar	25 watts @ 60 secs	30	121 (jump at 30 secs)
Monopolar Band 1	20 watts @ 60 secs	112	103 Full at 60 secs <sup>5</sup>
Band 2	20 watts @ 60 secs	108	300 Shut off at 25 secs
Band 3	20 watts @ 60 secs	109	301 Shut off at 31 secs
Band 4	20 watts @ 60 secs	108	300 Shut off at 27 secs
Band 5	20 watts @ 60 secs	115	301 Shut off at 42 secs
Band 6	20 watts @ 60 secs	109	301 Shut off at 24 secs
Proximal // Bipolar	40 watts @ 60 secs	32	37

Transformer tap = 50

"Full" indicates treatment progressed for the entire scheduled interval without an automatic termination event.

10

The treatment parameters and observations from the third set of RF applications are depicted in Table 3. The effect of the treatment was evaluated endoscopically. The treatment patterns were clearly visible as white bands as compared to the normal red/pink color.

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**TABLE 3**  
**Treatment Set 3: Parameters and Observations**

Device Location & Configuration	Treatment Protocol	Observed Impedance	
		Initial (Ohms) <sup>6</sup>	Terminal (Ohms)
Distal// Bipolar	25 watts @ 120 secs	67	168 Dec at 106 secs
Monopolar Band 1	15 watts @ 90 secs	104	283 Full at 90 secs <sup>8</sup>
Band 2	15 watts @ 90 secs	110	301 Shut off at 37 secs
Band 3	15 watts @ 90 secs	115	300 Shut off at 43 secs
Band 4	15 watts @ 90 secs	105	287 Full at 90 secs
Band 5	15 watts @ 90 secs	104	281 Full at 90 secs
Band 6	15 watts @ 90 secs	105	289 (inc at 38 secs)
Proximal // Bipolar	40 watts @ 120 secs	87	105

Bipolar transformer tap = 35; Monopolar = 50

- 10 Monopolar treatment usually resulted in a dramatic decreased in "watts" read out within the middle and the end of the treatment interval. The decrease was from 15 watts (initial setting) to 3 or 4 watts at the end of the treatment cycle. "Full" indicates treatment progressed for the entire scheduled interval without an automatic termination event.

15

The treatment transformer tap was changed for the bipolar treatments from 50 to 35. Of note is the observation that towards the end of the monopolar treatments, the watts output as reported on the generator decreased from a setting of 15 watts to a reading of 3 to 4 watts. The increase in impedance observed in the study may be useful as an endpoint for controlling the RF energy at the ablation site.

20

The RF energy can be applied to the electroconductive members in a variety of ways. In one embodiment, it is applied in the bipolar mode to the bipolar rings through simultaneous activation of alternating rings. In another embodiment, it is applied to the bipolar rings through sequential activation of pairs of rings. In another embodiment, the RF energy can be applied in monopolar mode through sequential

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- 5 activation of individual monopolar bands or simultaneous activation of the monopolar bands.

After the treatment of the swine esophagus as described above using radio frequency, the esophagus was extirpated and fixed in 10 percent normal buffered formalin (NBF). Three distinct lesion areas were observed corresponding to the three treatment sites and the esophagus was divided into three sections that approximated the three treatment zones. Each segment was cut into 4 to 5 mm thick serial cross sections. Selected sections from each treatment segment were photographed and the photographs of representative treatment segments were assembled side by side to compare similar catheter electrode patterns among the three treatment regimens. The following observations were made. Almost all the treated segments demonstrated necrosis of the mucosa. Changes with the submucosal, muscularis and adventitial layers were observed, typically demonstrated by tissue discoloration suggestive of hemorrhage within the tissue. Finally in comparing the tissue to the normal esophageal morphology, most treated segments were dilated with thinned walls. Thus, all the electrode patterns and treatment parameters resulted in ablation of the mucosal layer of the esophagus.

The treated esophagus was sectioned into 44 sections with each section labeled as either a treatment region or a region adjacent to a treatment region. Each section was processed for histological examination and stained with H&E and reviewed twice. The following parameters were estimated and noted.

- a. Percent Epithelial Slough:  
Slough was defined as a separation of one or more layers of the epithelium as visualized at 100-x magnification.
- b. Epith: Percent cell death:  
The basal layers of the epithelium were reviewed at 400-x magnification. Determination of "cell death" was based upon the following criteria:
  - Condensation of the nuclear material.
  - Loss of well-defined nuclear outline.
  - Loss of well-defined cellular detail.
- c. Lamina propria// Muscularis mucosa// Submucosa:

## 5 Percent death:

Cell death was based primarily on the condensation of nuclear material.

## d. Muscularis/Adventitia:

Same as above.

- 10 The following table summarizes the percent slough, percent death in the mucosa and submucosa and percent death in the muscularis as determined during the above-described study.

TABLE 4

Section Number	Section Location		Percent Slough	Percent death // Mucosa & submucosa	Percent death // Muscularis
1	Distal spacer		0	0	0
2	Distal // Bipolar Ring		0	0	0
3	Distal // Bipolar Ring		33	100	75
4	Distal // Bipolar Ring		100	100	50
5	Distal // Monopolar Band		100	100	75
6	Distal // Monopolar Band		100	100	75
7	Distal // Null band		100	100	50
8	Distal // Null band		100	100	75
9	Distal // Bipolar axial		50	95	50
10	Distal // Bipolar axial		75	90	25
11	Distal // Bipolar axial		50	75	25
12	Distal // Bipolar axial		50	75	25
13	Distal // Bipolar axial		50	100	25
14	Distal < Mid spacer		0	0	0
15	Distal < Mid spacer		0	0	0
16	Distal < Mid spacer		0	0	0
17	Distal < Mid spacer		0	0	0
18	Distal < Mid spacer		5	5	5
19	Mid tmt // Bipolar ring		75	100	25
20	Mid tmt // Bipolar ring		60	100	25
21	Mid tmt // Bipolar ring		90	100	25
22	Mid tmt // Monopolar band		60	75	25
23	Mid tmt // Null band		65	95	10
24	Mid tmt // Null band		75	100	10
25	Mid tmt // Bipolar axial		65	95	10
26	Mid tmt // Bipolar axial		35	25	25
27	Mid tmt // Bipolar axial		25	25	10

Section Number	Section Location		Percent Slough	Percent death // Mucosa & submucosa	Percent death // Muscularis
28	Mid tmt // Bipolar axial		30	50	25
29	Mid tmt $\diamond$ proximal spacer		65	25	50
30	Proximal // Bipolar ring		50	75	50
31	Proximal // Bipolar ring		25	75	25
32	Proximal // Bipolar ring		50	80	25
33	Proximal // Bipolar ring		75	75	50
34	Proximal // Monopolar band		90	50	50
35	Proximal // Monopolar band		100	99	75
36	Proximal // Monopolar band		100	100	75
37	Proximal // Null band		90	95	75
38	Proximal // Bipolar axial		50	25	50
39	Proximal // Bipolar axial		90	50	50
40	Proximal // Bipolar axial		100	75	75
41	Proximal // Bipolar axial		90	90	50
42	Proximal spacer		0	0	0
43	Proximal spacer		0	0	0
44	Proximal spacer		0	0	0

5

Various modifications to the above-mentioned treatment parameters can be made to optimize the ablation of the abnormal tissue. To obtain shallower lesions than the ones obtained in the above-mentioned study the RF energy applied may be increased while decreasing the treatment time. Also, the electrode patterns may be modified such as shown in Figure 7 to improve the evenness and shallowness of the resulting lesions. The system and method of the invention may also be modified to incorporate temperature feedback, resistance feedback and/or multiplexing electrode channels.

While a preferred embodiment of the present invention has been described, it should be understood that various changes, adaptations and modifications may be made therein without departing from the spirit of the invention and the scope of the appended claims.

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5 WHAT IS CLAIMED IS:

1. A system for ablating abnormal tissue from a human esophagus, comprising:
  - a. energy distribution means capable of distributing radio frequency energy, microwave energy, ultraviolet light energy or thermal energy, transmitted from a heated fluid medium; adapted for use with an expandable member shaped for insertion into and positioning in a human esophagus;
  - b. power means for powering the energy distribution means at levels appropriate to ablate human tissue within a human esophagus; and
  - c. control means designed for accurate control and positioning of the member.
2. The system of claim 1 wherein the abnormal tissue to be ablated is Barrett's epithelium, variants of Barrett's epithelium, dysplastic tissue, or malignant tissue.
3. The system of claim 2 wherein the energy distribution means comprises an expandable balloon having an electroconductive member associated with its outer surface.
4. The system of claim 3 wherein the expandable balloon has a diameter chosen so that when it is inflated within the esophagus at the desired site of ablation, the electroconductive member will be firmly pressed into the tissue to be ablated so that the esophagus is stretched and thinned sufficiently to occlude blood flow in the esophageal vasculature.
5. The system of claim 3 in which the energy is radio frequency energy.
6. The system of claim 3 wherein the electroconductive member is copper on polyimide conductive film.

- 5     7.     The system of claim 2 in which the energy is ultraviolet light.
8.     The system of claim 2 in which the energy is microwave energy.
9.     The system of claim 2 in which the energy distribution means distributes thermal energy transmitted from a heated fluid medium.
10.    The system of claim 2 in which the energy distribution means distributes
- 10   collimated or non-collimated light energy.
11.    The system of claim 3 wherein the electroconductive member comprises a pattern that is at least one of a plurality of bipolar rings spaced one from the other, a plurality of monopolar rectangles spaced one from the other, or a bipolar axial pattern of interlaced finger electrodes spaced apart one from the other.
- 15   12.    A method of accessing and ablating abnormal tissue in a human esophagus, comprising the steps of:
- a.     identifying the existence of abnormal tissue using visualization techniques;
- b.     inserting an expandable member endoscopically into a human
- 20   esophagus wherein the expandable member is connectable to a power source for generating radio frequency energy, microwave energy, ultraviolet light energy, or thermal energy transmitted from a heated fluid medium;
- c.     positioning the expandable member proximate a portion of the human esophagus having tissue to be ablated;
- 25           d.     expanding and positioning the expandable member so as to provide properly focused energy to a site of abnormal tissue for ablation of the tissue; and

- 5                   e.       providing ablation energy to a portion of the expandable member to effect tissue ablation.
13.    The method of claim 12 wherein the abnormal tissue identified is Barrett's epithelium, variants of Barrett's epithelium, dysplastic tissue, or malignant tissue.
14.    The method of claim 13 in which the energy utilized is radio frequency  
10 energy.
15.    The method of claim 13 in which the energy utilized is ultraviolet light.
16.    The method of claim 14 in which the energy utilized is microwave energy.
17.    The method of claim 13 in which the energy utilized is thermal energy transmitted from a heated fluid medium.
- 15 18.    The method of claim 13 in which the energy utilized is collimated or non-collimated light energy.
19.    The method of claim 13 wherein the step of expanding and positioning the expandable member further comprises expanding the expandable member so that its outer surface is firmly pressed into the abnormal tissue to be ablated so that blood  
20 flow to the tissue is reduced or prevented.
20.    The method of claim 19 further comprising the step of determining the desired diameter of the expandable member that will ensure that when it is expanded it will be pressed firmly into the abnormal tissue to be ablated so that blood flow to the tissue is reduced or prevented by inserting and positioning a compliant balloon at the ablation  
25 site, expanding the balloon so that its outer surface is firmly pressed into the abnormal tissue to be ablated and determining the diameter of the balloon at that point and then using an expandable member capable of expanding to that diameter when inflated.

- 5     21.     The method of claim 13 wherein the expandable electrode comprises  
electroconductive member having a pattern that is at least one of a plurality of bipolar  
rings spaced apart from each other, a plurality of monopolar rectangles spaced apart  
from one another or a bipolar axial pattern of interlaced finger electrodes spaced one  
from the other.
- 10    22.     The method of claim 21 further comprising generating energy to obtain  
simultaneous activation of alternating bipolar rings.
23.     The method of claim 21 further comprising generating energy sequentially to  
pairs of bipolar rings.
24.     The method of claim 21 further comprising generating energy simultaneously  
15    to the plurality of monopolar rectangles.
25.     The method of claim 21 further comprising generating energy sequentially to  
the plurality of monopolar rectangles.
26.     The method of claim 21 wherein the bipolar rings are spaced one from another  
by no more than 2mm.
- 20    27.     The method of claim 21 wherein the monopolar rectangles are spaced one  
from another by no more than 1mm.
28.     The method of claim 21 wherein the bipolar axial interlaced finger electrodes  
are spaced one from another by no more than 1mm.
29.     The method of claim 21 wherein the expandable electroconductive member is  
25    between 8mm and 4cm in length.
30.     The method of claim 19 wherein the energy utilized is radio frequency energy.
31.     The method of claim 21 wherein radio frequency energy is supplied to the  
bipolar rings at between 15 and 40 watts for between 1 and 120 seconds.

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5     32.     The method of claim 21 wherein radio frequency energy is supplied to each of  
the plurality of monopolar rectangles at between 15 and 40 watts for between 1 and  
120 seconds.

33.     The method of claim 21 wherein radio frequency energy is supplied to the  
bipolar axial finger electrodes at between 15 and 40 watts for between 1 and 120  
10    seconds.

34.     The method of claim 15 further comprising use of sensitizing agents for  
enhancing the efficacy of the ablation of appropriate tissue.

35.     A system for ablating abnormal tissue from a human esophagus, comprising:  
a.     an expandable member shaped for insertion into and  
15    positioning in a human esophagus connected to an energy distributing device  
capable of distributing radio frequency energy, microwave energy, ultraviolet  
light energy or thermal energy transmitted from a heated fluid medium;  
b.     a power source for powering the energy distributing device at  
levels appropriate to ablate human tissue within a human esophagus; and  
20    c.     control apparatus designed for accurate control and positioning  
of the expandable member within the esophagus.

36.     A method of accessing and ablating abnormal tissue that is Barrett's  
epithelium, variants of Barrett's epithelium, dysplastic tissue, or malignant tissue in a  
human esophagus, comprising the steps of:  
25    a.     identifying the existence of the abnormal tissue using  
visualization techniques;  
b.     inserting an expandable member endoscopically into a human  
esophagus wherein the expandable member is connectable to a power source

- 5           for generating radio frequency energy, microwave energy, ultraviolet light  
energy, or thermal energy transmitted from a heated fluid medium;
- c.       positioning the expandable member proximate a portion of the  
human esophagus having tissue to be ablated;
- d.       expanding and positioning the expandable member so as to  
10       provide properly focused energy to a site of abnormal tissue for ablation of the  
tissue and so that its outer surface is firmly pressed into the abnormal tissue to  
be ablated so that blood flow to the tissue is reduced or prevented; and
- e.       providing energy to a portion of the expandable member to  
effect tissue ablation.
- 15   36.    The method of claim 35 wherein the expandable member comprises an  
expandable balloon having an electroconductive member associated with its outer  
surface.
- 37    The method of claim 36 further comprising the step of monitoring the tissue  
impedance while providing the energy to the ablation site to determine when  
20   sufficient ablation has occurred.

FIG. 1

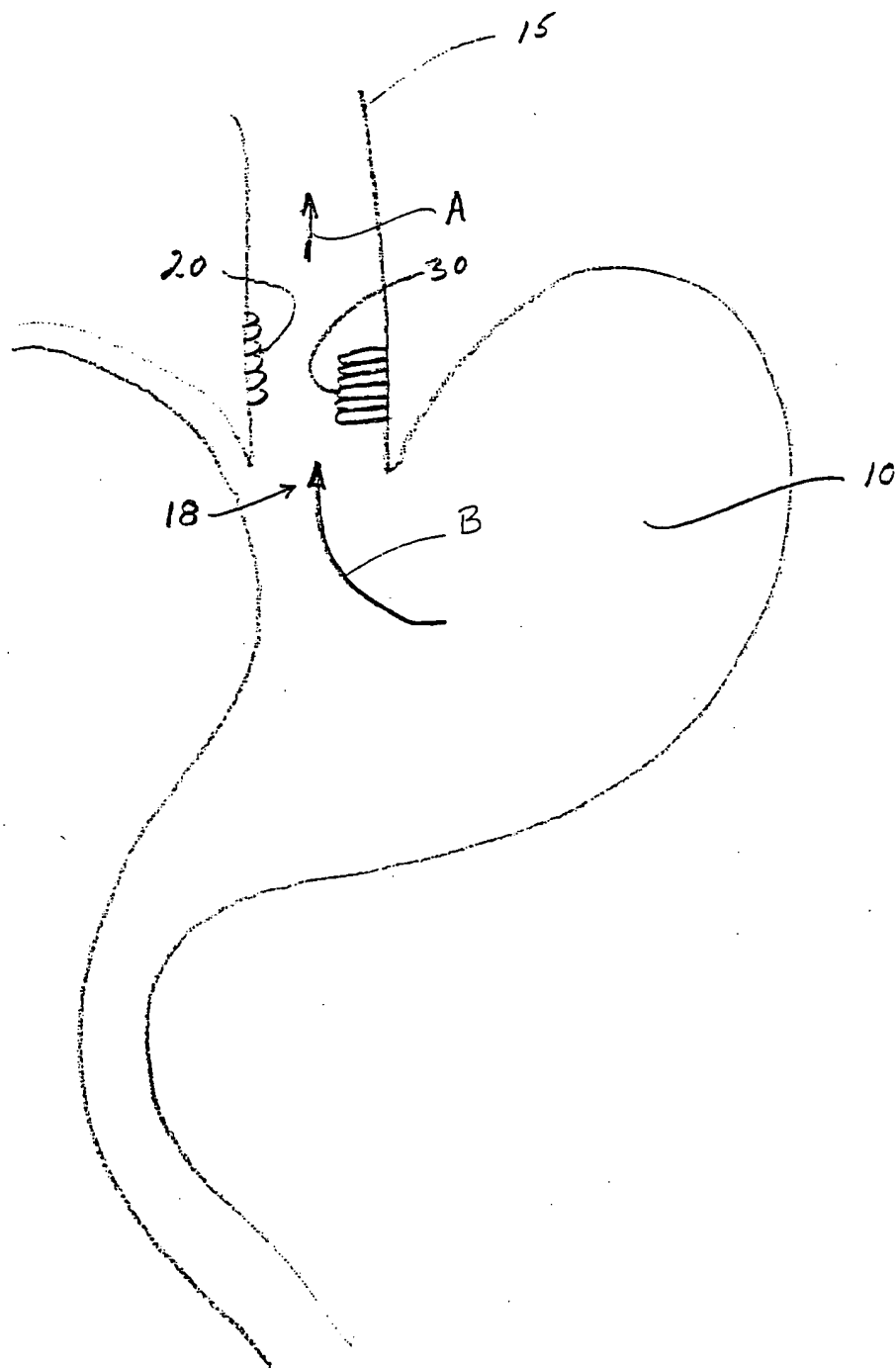


FIG. 2

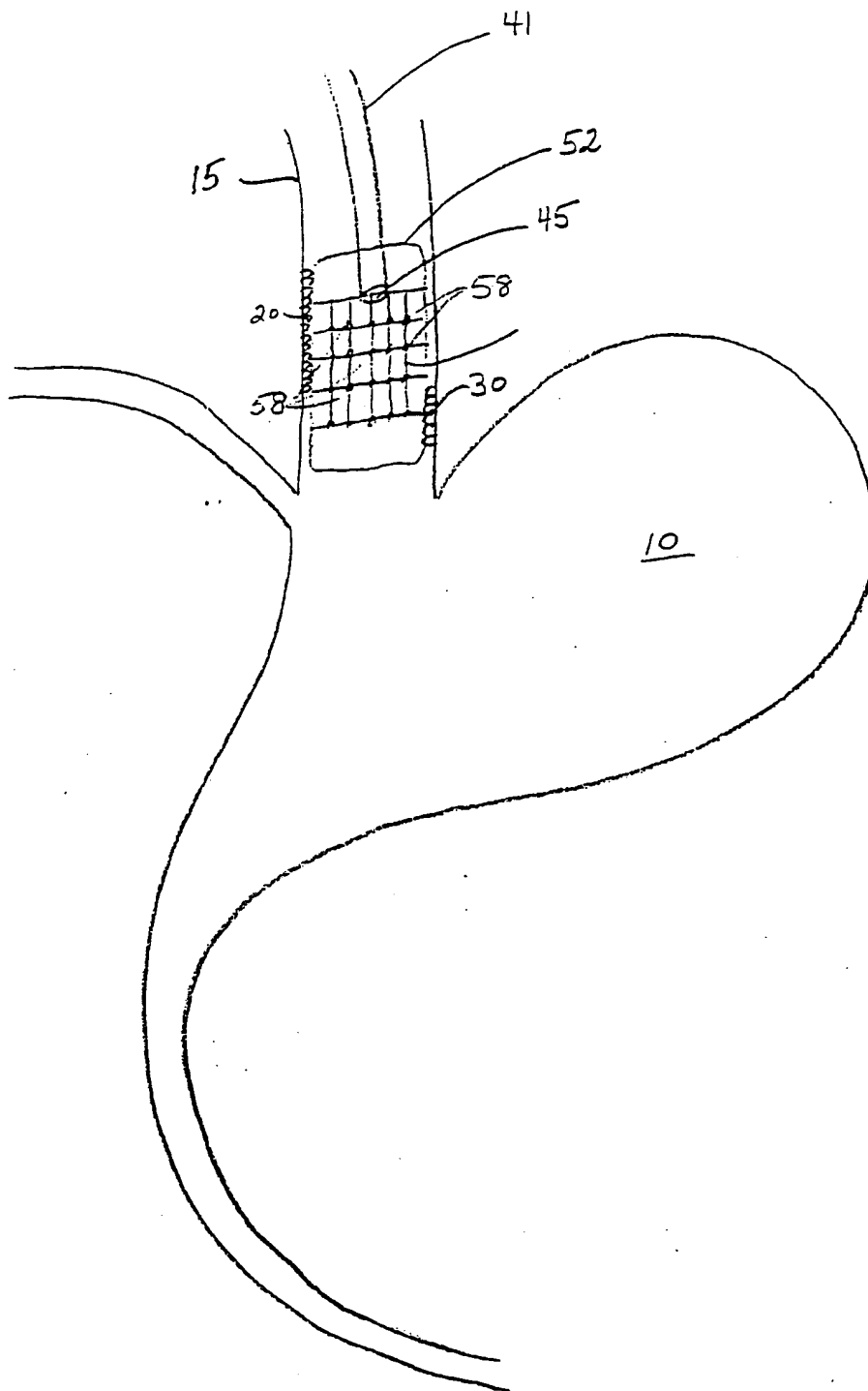




FIG. 3

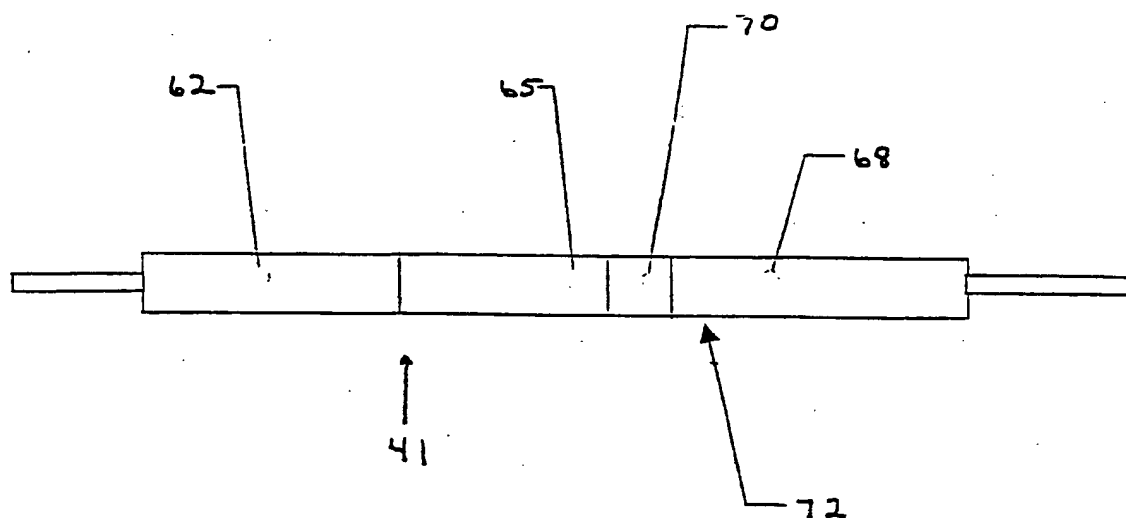


FIG. 4

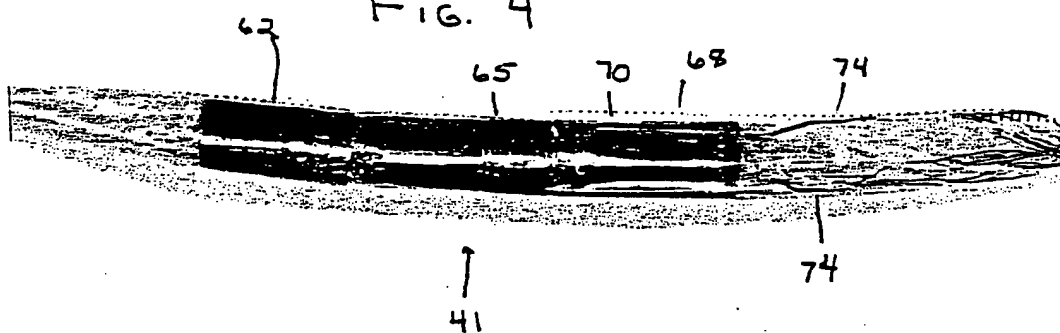


FIGURE 5

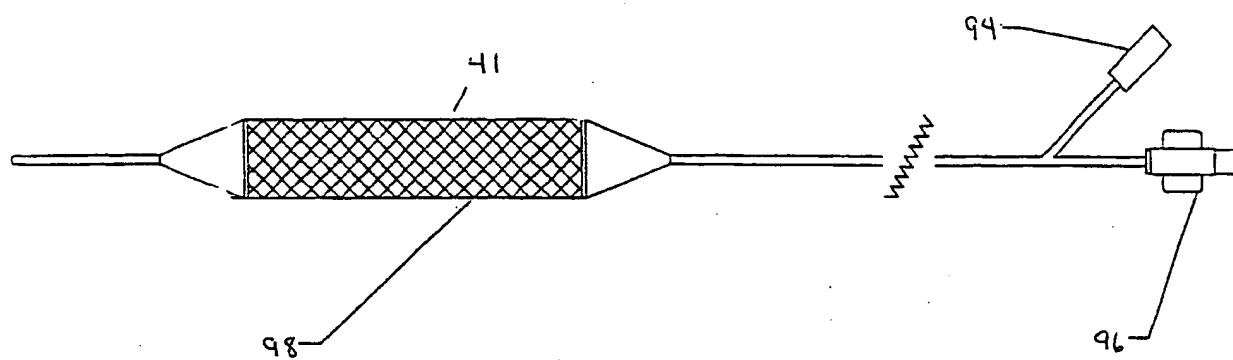


FIGURE 6

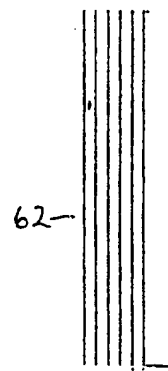
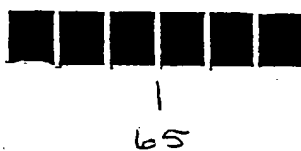
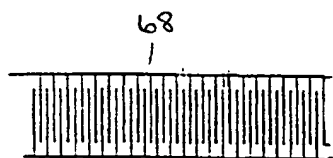
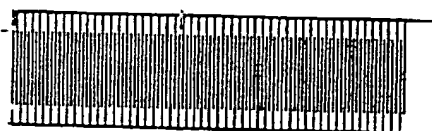


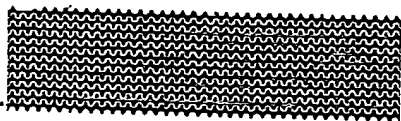
FIGURE 7



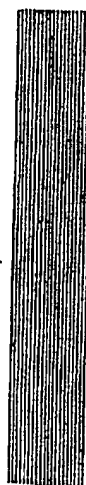
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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/31561

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61B18/14

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EP0-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	WO 00 59393 A (GENESIS MEDICAL INC) 12 October 2000 (2000-10-12) the whole document	1-10
X	WO 99 55245 A (EDWARDS STUART D) 4 November 1999 (1999-11-04) page 19, line 2-24; figure 11 page 17, paragraph 3 -page 18, paragraph 1	1-5,8,9
A	WO 99 42046 A (CONWAY STUART) 26 August 1999 (1999-08-26) figures 18A-18C	1-10



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Date of the actual completion of the international search

15 February 2001

Date of mailing of the international search report

22/02/2001

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/31561

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0059393 A	12-10-2000	AU 4058200 A	23-10-2000
WO 9955245 A	04-11-1999	AU 3672299 A	16-11-1999
WO 9942046 A	26-08-1999	US 6006755 A	28-12-1999
		AU 2872399 A	06-09-1999

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